

# IAP6 Rec'd PCT/PTO 29 JUN 2006

FORM PTO-1390 (Modified)
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE
(REV 12-2004)

#### ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) 016906-0443 **CONCERNING A FILING UNDER 35 U.S.C. 371** J.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) 10/552,448 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/EP2004/003605 4/5/2004 4/8/2003 TITLE OF INVENTION HEAT EXCHANGER PROVIDED WITH FIXING ELEMENTS, IN PARTICULAR IN A VEHICLE APPLICANT(S) FOR DO/EO/US Viorel BRAIC, Adolf SCHEMBERA, Dirk BECKER, Stephan BITTNER and Ralf ZIMMERMANN Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. $\boxtimes$ 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). П has been communicated by the International Bureau. П is not required, as the application was filed in the United States Receiving Office (RO/US) 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not transmitted by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). $\boxtimes$ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. 🔲 An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern other document(s) or information included: 11. 🔲 An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. 🔲 An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. 🔲 A preliminary amendment. 14. 🔲 An Application Data Sheet under 37 CFR 1.76. 15. 🔲 A substitute specification. 16. A power of attorney and/or change of address letter. 17. 🔲 A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825 18. 🔲 A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. 🔲 A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. Other items or information:

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	U.S. APPLICATION 10/552,448		wn, see 37 ChR		PCT/EP2004/003605	NO.	A	TTORNEY 01690		CKET NUMBER  43			
			ng fees have					• • • •					
		asic natio	•			\$	300		\$	0.00			
		22. Examination fee											
	If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) \$100							isty	\$	0.00	ĺ		
	All other situations \$200									1			
	23. Search fee												
	Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the												
	USPTO as an International Searching Authority \$100										Į į		
	International Search Report prepared and provided to the Office \$400												
	All other situations \$500							\$	0.00				
		TOTAL OF ABOVE 21, 22 and 23 =							\$	0.00			
	Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$ for each additional 50 sheets of paper or fraction thereof.												
	Total Sheets	Extra	sheets		er of each additional 50 or f f (round up to a whole num		RATI	E					
	13 - 100 =		/50 =		0			50.00	\$	0.00			
	Surcharge of earliest claims	urcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the calinest claimed priority date (37 CFR 1.492(e))					m the	\$	130.00				
	CLAIMS	š	NUMBER	FILED	NUMBER EXTRA	F	RATE		\$				
	Total Clair	ms	10	- 20 =	0	x\$		50.00	\$	0.00			
	Independent (	Claims	1	- 3 =	0	x \$	2	200.00	\$	0.00			
	MULTIPLE DE	MULTIPLE DEPENDENT CLAIM(S) (if applicable) +\$ 360.0						360.00	\$				
					TOTAL OF ABOV	E CALCU	JLATIC	ONS =	\$	130.00			
	Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.  SUBTOTAL  Processing fee of 130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).							+	\$	0.00			
								TAL =	\$	130.00			
									\$				
	TOTAL NATIONAL FEE =								\$	130.00			
	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). 40.00 per property								\$				
07/06/2006	LANDGRA 00000	0018 10	552448		TOTAL	FEES EN	NCLOS	SED =	\$	130.00			
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	a. 🗌 A d	check in	the amour	nt of	to cover the	above fe	ees is	enclose	ed.				
	b. Please charge my Deposit Account No. 19-0741 in the amount of A duplicate copy of this sheet is enclosed.								to cover the above fees.				
	<ul> <li>c.</li></ul>									ed, or credit any			
	NOTE: Who 1.137(a) or (	NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.											
	SEND ALL CORRESPONDENCE TO:  SIGNATURE SIGNATU							A SNATU	Julian .				
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	Customer Number: 22428 NAME												
	25,479												
	<u></u>								RATION NUMBER				



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEPARTMENT OF COMMI United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vignia 22313-1450 www.nspto.gov

FIRST NAMED APPLICANT ATTY. DOCKET NO. U.S. APPLICATION NUMBER NO. Viorel Braic 016906-0443 10/552,448

INTERNATIONAL APPLICATION NO.

PCT/EP04/03605

I.A. FILING DATE

PRIORITY DATE

04/05/2004

04/08/2003

22428 FOLEY AND LARDNER LLP SUITE 500 3000 K STREET NW WASHINGTON, DC 20007

**CONFIRMATION NO. 2305** 371 FORMALITIES LETTER

\*OC000000019136612\*

Date Mailed: 06/07/2006

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 10/06/2005
- English Translation of the IA filed on 10/06/2005
- Copy of the International Search Report filed on 10/06/2005
- Preliminary Amendments filed on 10/06/2005
- Information Disclosure Statements filed on 10/06/2005
- Copy of references cited in ISR filed on 10/06/2005
- U.S. Basic National Fees filed on 10/06/2005
- Power of Attorney filed on 10/06/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

\$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

SHELBY J VIGIL

Telephone: (703) 308-9140 EXT 224

### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.
10/552,448	PCT/EP04/03605	016906-0443

FORM PCT/DO/EO/905 (371 Formalities Notice)